[INSERT EMPLOYER NAME]

APPENDIX 3 [INSERT DATE]

**Sample List of Risks and Mitigation Efforts**

|  |  |
| --- | --- |
| Risks Identified through Record Review  and/or Risk Evaluation | Methods and means by which the risk is  being addressed |
| Identified Risk # |  |
| Identified Risk # |  |
| Identified Risk # |  |
| Identified Risk # |  |
| Identified Risk # |  |